



SHEET 1 OF 1

FORM PTO - 1449

## INFORMATION DISCLOSURE STATEMENT

ATTORNEY DOCKET NO.: ICO-004 (4594/11)

APPLICANTS: Elsbree et al.

SERIAL NO.: 09/478,775

FILING DATE: January 6, 2000

GROUP: 2174

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## U.S. PATENT DOCUMENTS

EXAM. INIT.		DOCUMENT NUMBER	DATE	NAME	CLASS	SUB CLASS	FILING DATE IF APPROPRIATE
29	A1	5,652,908	07/29/1997	Douglas et al.	395	800	06/07/95

## FOREIGN PATENT DOCUMENTS

EXAM. INIT.		DOCUMENT NUMBER	DATE	COUNTRY CODE	CLASS	SUB CLASS	FILING DATE	ABSTRACT ONLY	ENGLISH LANG. (Y/N)

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## OTHER ART, JOURNAL ARTICLES, ETC.

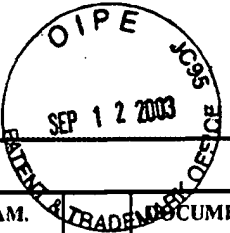
EXAM. INIT.	OTHER DOCUMENTS: (Including Author, Title, Date, Relevant Pages, Place of Publication)

EXAMINER *John Doe*

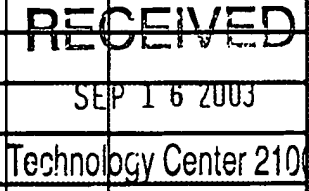
DATE CONSIDERED 10/1/2002

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<b>FORM PTO - 1449</b>  <b>SUPPLEMENTAL INFORMATION DISCLOSURE STATEMENT</b>				<b>ATTORNEY DOCKET NO.:</b> ICO-004 (4594/11)  <b>APPLICANTS:</b> Elsbree et al.  <b>SERIAL NO.:</b> 09/478,775  <b>FILING DATE:</b> January 6, 2000  <b>GROUP:</b> 2174			
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U.S. PATENT DOCUMENTS							
EXAM. INIT.	DOCUMENT NUMBER	DATE	NAME	CLASS	SUB CLASS	FILING DATE IF APPROPRIATE	
29	A2	5,828,674	10/27/98	Proskauer	371	22.1	09/16/97



FOREIGN PATENT DOCUMENTS									
EXAM. INIT.	DOCUMENT NUMBER	DATE	COUNTRY CODE	CLASS	SUB CLASS	FILING DATE	ABSTRACT ONLY	ENGLISH LANG (Y/N)	

OTHER ART, JOURNAL ARTICLES, ETC.		
EXAM. INIT.	OTHER DOCUMENTS: (Including Author, Title, Date, Relevant Pages, Place of Publication)	

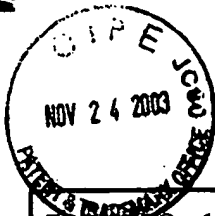
<b>EXAMINER</b> <i>John J. ...</i>	<b>DATE CONSIDERED</b> 11/12/03
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FOR PTO - 1449

## SECOND SUPPLEMENTAL INFORMATION DISCLOSURE STATEMENT

ATTORNEY DOCKET NO. ICO-004 (4594/11)

APPLICANTS: Elsbree et al.

APPLICATION NO. 09/478,775

FILING DATE: January 6, 2000

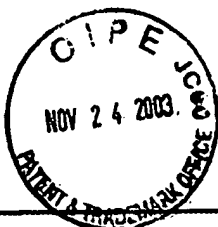
GROUP: 2174

### U.S. PATENT DOCUMENTS

EXAM. INIT.		DOCUMENT NUMBER	DATE	NAME	CLASS	SUB CLASS	FILING DATE IF APPROPRIATE
LVN	A3	4,141,066	2/20/79	Keiles	364	119	9/13/97
	A4	5,671,415	9/23/97	Hossain	395	701	6/1/94
	A5	5,762,912	3/10/98	Krall, Jr. et al.	364	550	9/6/96
	A6	5,812,668	9/22/98	Weber	380	24	6/17/96
	A7	5,815,657	9/29/98	Williams et al.	395	186	4/26/96
	A8	5,818,447	10/6/98	Wolf et al.	345	335	6/6/96
	A9	5,828,840	10/27/98	Cowan et al.	395	200,33	8/6/96
	A10	5,835,914	11/10/98	Brim	707	206	2/18/97
	A11	5,850,446	12/15/98	Berger et al.	380	24	6/17/96
	A12	5,910,895	6/8/99	Proskauer et al.	364	468,28	6/13/97
	A13	5,938,781	8/17/99	Proskauer	714	724	10/13/98
	A14	6,032,203	2/29/00	Heidhues	710	11	4/7/97
	A15	6,131,122	10/10/00	Sampson	709	227	6/1/98
	A16	6,151,625	11/21/00	Swales et al.	709	218	4/30/99
	A17	6,389,464	5/14/02	Krishnamurthy et al.	709	220	6/27/97

### FOREIGN PATENT DOCUMENTS

EXAM. INIT.		DOCUMENT NUMBER	DATE	COUNTRY CODE	CLASS	SUB CLASS	FILING DATE	ABSTRACT ONLY	ENGLISH LANG (Y/N)
LVN	B1	0 762 273 A1	3/12/97	EP	G06F	9/44	9/4/96	N	Y
	B2	0 825 506 A2	2/25/98	EP	G05B	19/418	7/14/97	N	Y
	B3	0 266 784 A2	5/11/88	EP	G05B	19/417	11/6/87	N	Y
	B4	0 460 308 A1	12/11/91	EP	G06F	11/14	6/5/90	N	Y



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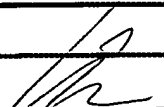
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FORM PTO - 1449					ATTORNEY DOCKET NO. ICO-004 (4594/11)				
SECOND SUPPLEMENTAL INFORMATION DISCLOSURE STATEMENT					APPLICANTS: Elsbree et al.				
					APPLICATION NO. 09/478,775				
					FILING DATE: January 6, 2000 GROUP: 2174				
EXAM. INIT.		DOCUMENT NUMBER	DATE	COUNTRY CODE	CLASS	SUB CLASS	FILING DATE	ABSTRACT ONLY	ENGLISH LANG (Y/N)
LVN	B5	0 566 283 A2	10/20/93	EP	G05B	19/00	4/1/93	N	Y
	B6	0 778 688 A2	6/11/97	EP	H04L	29/06	11/19/96	N	Y
	B7	0 810 499 A2	12/3/97	EP	G05B	19/418	6/1/93	N	Y
	B8	97/00475	1/3/97	WO	G06F	9/46	6/13/96	N	Y
OTHER ART, JOURNAL ARTICLES, ETC.									
EXAM. INIT.	OTHER DOCUMENTS: (Including Author, Title, Date, Relevant Pages, Place of Publication)								
LVN	C1	B. Anger, Windows NT and 95 OSs, OPC, ActiveX, and RAD Tools Shape Course of OL Software, I & CS Industrial and Process Control Magazine, Vol. 69, November 1996, pgs. 49-54.							
	C2	E. Bassett, Making the OPC Connection, Start Magazine Vol. 2 No. 4, July/August 1998, downloaded 02/24/99 from <a href="http://www.startmag.com/v2n4opcp002.htm">http://www.startmag.com/v2n4opcp002.htm</a> , 3 pages							
	C3	M. Edmond, Efforts Advance to Tie Shop-Floor Automation to Back-Office Systems, Start Magazine, Vol. 2 No. 1, January/February 1998, downloaded 02/24/99 from <a href="http://www.startmag.com/v2n1opcl.htm">http://www.startmag.com/v2n1opcl.htm</a> , 6 pages							
	C4	M. Edmund, 51 Companies were selected as The Hottest Companies in 1998 after months of deliberation by a panel of start's editors, Start Magazine Vol. 2 No. 4, July/August 1998, downloaded 02/24/99 from <a href="http://www.startmag.com/v2n4p030.htm">http://www.startmag.com/v2n4p030.htm</a> #ICONICS, 23 pages							
	C5	ICONICS DataWorX32, OPC Redundancy & Bridging, <a href="http://www.iconics.com/Genesis32/DataworX_99.htm">http://www.iconics.com/Genesis32/DataworX_99.htm</a> , printed 10/15/99.							
	C6	Microsoft Corporation, COM Technologies Home Page, downloaded 03/12/98 from <a href="http://www.microsoft.com/com/comintro.htm">http://www.microsoft.com/com/comintro.htm</a> , 1 page							
	C7	National Instruments: "Graphical Programming for PC Automation" Instrupedia 97. Your Interactive Encyclopedia For Instrumentation, vol. 2, no. 1, 1997, pages 6-21 to 6-28, XP-002132205.							
	C8	National Instruments, OPC - Frequently Asked Questions, downloaded 03/12/98 from <a href="http://www.natinst.com/automation/opc_faq.htm">http://www.natinst.com/automation/opc_faq.htm</a> , 2 pages							
	C9	OPC Programmers' Connection, History of OPC, downloaded 03/12/98 from <a href="http://www.dspace.dial.pipex.com/town/estate/0n50/history.shtml">http://www.dspace.dial.pipex.com/town/estate/0n50/history.shtml</a> , 2 pages							
	C10	OPC ToolWorX, downloaded 03/12/98 from <a href="http://www.iconics.com/opc/toolw2.htm">http://www.iconics.com/opc/toolw2.htm</a> , 3 pages							



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<b>FORM PTO - 1449</b>		<b>ATTORNEY DOCKET NO. ICO-004 (4594/11)</b>	
<b>SECOND SUPPLEMENTAL INFORMATION DISCLOSURE STATEMENT</b>		<b>APPLICANTS: Elsbree et al.</b>	
		<b>APPLICATION NO. 09/478,775</b>	
		<b>FILING DATE: January 6, 2000      GROUP: 2174</b>	
<b>EXAM. INIT.</b>	<b>OTHER DOCUMENTS: (Including Author, Title, Date, Relevant Pages, Place of Publication)</b>		
LVW	C11	What is OPC?, downloaded 03/12/98 from <a href="http://www.iconics.com/opc/what_opc.htm">http://www.iconics.com/opc/what_opc.htm</a> , 1 page	
	C12	Press Release, ICONICS GraphWorX32 Awarded Prestigious Control Engineering 1997 Editors' Choice Award, February 27, 1998, downloaded 03/12/98 from <a href="http://www.iconics.com/CE97awrd.htm">http://www.iconics.com/CE97awrd.htm</a> , 2 pages	
	C13	S. Robinson and A. Krasilshchikov, ActiveX Magic: An ActiveX Control and DCOM Sample Using ATL, May 1997, downloaded 3/12/98 from <a href="http://www.microsoft.com/com/overview.htm">http://www.microsoft.com/com/overview.htm</a> , 11 pages	
	C14	J. Schmoll, Wird OLE for Process Control (OPC) Ein Neuer Industriestandard ?, Automatisierungstechnische Praxis-ATP, Vol. 39, May 1997, pgs. 14-17.	
	C15	Shepard et al., How MFC Does Activex Connections, Dr. Dobbs Journal, Vol. 22, April 1997, pgs. 109-113.	
	C16	A. Shrotriya: "Using Object Linking And Embedding (Ole) Automation In Labview 4.0" National Instruments, Application Note 081, XP-002132206.	
	C17	Vertical Industry Specifications Supported in Windows DNA", <a href="http://www.microsoft.com/Dna/industry/vertical.asp">http://www.microsoft.com/Dna/industry/vertical.asp</a> , printed 10/18/99.	
	C18	Welcome to Iconics, OPC-To-The-Core" <a href="http://www.iconics.com/index2.htm">http://www.iconics.com/index2.htm</a> , printed 10/18/99	
	C19	P. Wiedenbergl, ActiveX Technology Optimizes Process Visualization, Engineering and Automation, Vol. 20, January 1998, pgs. 36-37.	
	C20	International Search Report, PCT/US99/05534, 7 pages, July 6, 1999.	
<b>EXAMINER</b> 		<b>DATE CONSIDERED</b> 11/1/05	
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